



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alexei Glebov, *et al.*
Serial No.: 10/725,840
Filed: December 1, 2003
For: TUNABLE MICRO-LENS ARRAY
Art Group Unit: 2873
Examiner: Unknown
Attorney Docket: 02EK-105597

**CERTIFICATE OF
MAILING/TRANSMISSION
(37 C.F.R. § 1.8A)**

I hereby certify that this correspondence is,
on the date shown below, being:

(X) deposited with the United States
Postal Service with sufficient postage as
first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450.

() transmitted by facsimile to the Patent
and Trademark Office.

3/25/04
Date

Jordan Wilson

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, CA 22313-1450

Sir:

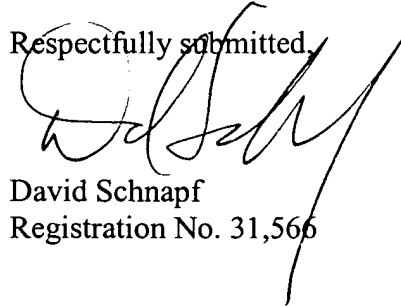
The citations listed on the enclosed PTO-1449 Form are submitted under 37 C.F.R.
§§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56.

The Examiner is requested to make these citations officially of record in the application.
This Information Disclosure Statement is being submitted before receipt of the first Office
Action for the above-identified application, therefore, pursuant to 37 C.F.R. §1.97, no fee or
certification is required.

This Information Disclosure Statement is not to be construed as a representation or
admission that any of the listed citations, by itself or in combination with other information, is
material to patentability, is, in fact, prior art, or establishes or a *prima facie* case of
unpatentability of any claim in the above-identified application. Additionally, this Information

Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'David Schnapf', written over the typed name and registration number.

David Schnapf
Registration No. 31,566

March 25, 2004

SHEPPARD MULLIN
RICHTER & HAMPTON LLP
Four Embarcadero Center, 17th Floor
San Francisco, CA 94111-4106
Telephone (415) 434-9100
Facsimile (415) 434-3947

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	02EK-105597	10/725,840
	APPLICANT: Glebov, <i>et al.</i>	
	FILING DATE:	GROUP ART UNIT:
	December 1, 2002	2873


REFERENCE DESIGNATION
U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
	A1						
	A2						
	A3						

FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N	
							yes	no
	B1							
	B2							
	B3							

OTHER ART (Include Author, Title, Date, Pertinent Pages, Etc.)

	C1	IEEE Photonics Technology Letters, Vol. 11, No. 5, Lee et al., "Polymeric Tunable Optical Attenuator with an Optical Monitoring Tap for WDM Transmission Network," pgs. 590-592, May 1999.
	C2	Journal of Lightwave Technology, Vol. 9, No. 5, Shimada, et al., "Microlens Fabricated by the Planar Process," pgs. 571-576, May 1991.
	C3	Applied Optics, Vol. 37, No. 25, Gupta, et al., "Absolute Refractive Indices and Thermal Coefficients of Fused Silica and Calcium Fluoride Near 193 nm," pgs. 5964-5968, September 1, 1998.
	C4	Journal of Lightwave Technology, Vol. 10, No. 4, Moshrefzadeh et al., "Temperature Dependence of Index of Refraction of Polymeric Waveguides," pgs. 420-425, April 1992.
	C5	IEEE Photonics Technology Letters, Vol. 11, No. 4, Eldada, et al, "Thermooptic Planar Polymer Bragg Grating OADM's with Broad Tuning Range," pgs. 448-450, April 1999.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to Applicant(s).